Institution

#### IN FORMA PAUPERIS DECLARATION

	[Insert	appropriate court]
	United States	SUPPORT
	v.	OF REQUEST TO PROCEED
	(Movant)	IN FORMA PAUPERIS
ny mot ees, co ause o aid pro eeve I a . Are y a. I	cion to proceed sets or give set f my poverty, oceeding or to am entitled to rou presently e f the answer is salary or wage and address of f the answer is	employed? Yes $\square$ No $\square$ s "yes," state the amount of you es per month, and give the nam your employer.
b. F c. F d. C e. A If scri	you received money from a Business, profes Yes No Cent payments. Yes No No Hensions, annu Yes No In the answer the each source	within the past twelve month ny of the following sources? ssion or form of self-employment, interest or dividends? ities or life insurance payments ances? Yes \( \) No \( \) ces? Yes \( \) No \( \) co any of the above is "yes," does of money and state the amound the during the past twelve months.
che Yes If	cking or saving □ No □ (Inclu	ash, or do you have money in gs account? ade any funds in prison accounts "yes," state the total value of th
mol nar Yes If	oiles, or other $y$ household fur $\square$ No $\square$	state, stocks, bonds, notes, autovaluable property (excluding ordernishings and clothing)? "'yes," describe the property an late value.
port indi	t, state your r	to are dependent upon you for superlationship to those persons, and ch you contribute toward their
erjury n	that the foreg	, verify, or state) under penalty (oing is true and correct. Execute
(a	ate)	
		Signature of Movant

I further certify that movant likewise has the follows securities to his credit according to the records of securities institution:		
	Authorized Officer of	

(As amended Apr. 28, 1982, eff. Aug. 1, 1982; Apr. 26, 2004, eff. Dec. 1, 2004.)

MODEL FORM FOR USE IN 28 U.S.C. §2255 CASES INVOLVING A RULE 9 ISSUE

Form No. 9

[Omitted as obsolete]

Changes Made After Publication and Comments—Forms Accompanying Rules Governing § 2254 and § 2255 Proceedings. Responding to a number of comments from the public, the Committee deleted from both sets of official forms the list of possible grounds of relief. The Committee made additional minor style corrections to the forms.

#### [§ 2256. Omitted]

#### CODIFICATION

Section, added Pub. L. 95–598, title II, §250(a), Nov. 6, 1978, 92 Stat. 2672, did not become effective pursuant to section 402(b) of Pub. L. 95–598, as amended, set out as an Effective Date note preceding section 101 of Title 11, Bankruptcy. Section read as follows:

#### § 2256. Habeas corpus from bankruptcy courts

- A bankruptcy court may issue a writ of habeas cornus—
  - (1) when appropriate to bring a person before the court—  $\,$ 
    - (A) for examination;
    - (B) to testify; or
    - (C) to perform a duty imposed on such person under this title; or
  - (2) ordering the release of a debtor in a case under title 11 in custody under the judgment of a Federal or State court if—  $\,$ 
    - (A) such debtor was arrested or imprisoned on process in any civil action;
    - (B) such process was issued for the collection of a debt—  $\,$ 
      - (i) dischargeable under title 11; or
      - (ii) that is or will be provided for in a plan under chapter 11 or 13 of title 11; and
    - (C) before the issuance of such writ, notice and a hearing have been afforded the adverse party of such debtor in custody to contest the issuance of such writ.

#### PRIOR PROVISIONS

A prior section 2256, added Pub. L. 95–144, §3, Oct. 28, 1977, 91 Stat. 1220, related to jurisdiction of proceedings relating to transferred offenders, prior to transfer to section 3244 of Title 18, Crimes and Criminal Procedure, by Pub. L. 95–598, title III, §314(j), Nov. 6, 1978, 92 Stat. 2677.

#### CHAPTER 154—SPECIAL HABEAS CORPUS PROCEDURES IN CAPITAL CASES

Sec.
2261. Prisoners in State custody subject to capital sentence; appointment of counsel; requirement of rule of court or statute; procedures for appointment.

2262. Mandatory stay of execution; duration; limits on stays of execution; successive petitions.

2263. Filing of habeas corpus application; time requirements; tolling rules.

Sec.

2264. Scope of Federal review; district court adjudications

2265. Certification and judicial review.

2266. Limitation periods for determining applications and motions.

#### AMENDMENTS

Pub. L. 109–177, title V, \$507(c)(2), Mar. 9, 2006, 120 Stat. 251, substituted "Certification and judicial review" for "Application to State unitary review procedure" in item 2265.

# § 2261. Prisoners in State custody subject to capital sentence; appointment of counsel; requirement of rule of court or statute; procedures for appointment

- (a) This chapter shall apply to cases arising under section 2254 brought by prisoners in State custody who are subject to a capital sentence. It shall apply only if the provisions of subsections (b) and (c) are satisfied.
  - (b) COUNSEL.—This chapter is applicable if—
  - (1) the Attorney General of the United States certifies that a State has established a mechanism for providing counsel in postconviction proceedings as provided in section 2265; and
  - (2) counsel was appointed pursuant to that mechanism, petitioner validly waived counsel, petitioner retained counsel, or petitioner was found not to be indigent.
- (c) Any mechanism for the appointment, compensation, and reimbursement of counsel as provided in subsection (b) must offer counsel to all State prisoners under capital sentence and must provide for the entry of an order by a court of record—
  - (1) appointing one or more counsels to represent the prisoner upon a finding that the prisoner is indigent and accepted the offer or is unable competently to decide whether to accept or reject the offer:
  - (2) finding, after a hearing if necessary, that the prisoner rejected the offer of counsel and made the decision with an understanding of its legal consequences; or
  - (3) denying the appointment of counsel upon a finding that the prisoner is not indigent.
- (d) No counsel appointed pursuant to subsections (b) and (c) to represent a State prisoner under capital sentence shall have previously represented the prisoner at trial in the case for which the appointment is made unless the prisoner and counsel expressly request continued representation.
- (e) The ineffectiveness or incompetence of counsel during State or Federal post-conviction proceedings in a capital case shall not be a ground for relief in a proceeding arising under section 2254. This limitation shall not preclude the appointment of different counsel, on the court's own motion or at the request of the prisoner, at any phase of State or Federal post-conviction proceedings on the basis of the ineffectiveness or incompetence of counsel in such proceedings

(Added Pub. L. 104–132, title I, §107(a), Apr. 24, 1996, 110 Stat. 1221; amended Pub. L. 109–177, title V, §507(a), (b), Mar. 9, 2006, 120 Stat. 250.)

#### AMENDMENTS

2006—Subsec. (b). Pub. L. 109–177, §507(a), added subsec. (b) and struck out former subsec. (b) which read as follows: "This chapter is applicable if a State establishes by statute, rule of its court of last resort, or by another agency authorized by State law, a mechanism for the appointment, compensation, and payment of reasonable litigation expenses of competent counsel in State post-conviction proceedings brought by indigent prisoners whose capital convictions and sentences have been upheld on direct appeal to the court of last resort in the State or have otherwise become final for State law purposes. The rule of court or statute must provide standards of competency for the appointment of such counsel."

Subsec. (d). Pub. L. 109-177, §507(b), struck out "or on direct appeal" after "at trial".

#### EFFECTIVE DATE OF 2006 AMENDMENT

Amendment by Pub. L. 109–177 applicable to cases pending on or after Mar. 9, 2006, with special rule for certain cases pending on that date, see section 507(d) of Pub. L. 109–177, set out as a note under section 2251 of this title.

#### EFFECTIVE DATE

Pub. L. 104–132, title I, §107(c), Apr. 24, 1996, 110 Stat. 1226, provided that: "Chapter 154 of title 28, United States Code (as added by subsection (a)) shall apply to cases pending on or after the date of enactment of this Act [Apr. 24, 1996]."

## § 2262. Mandatory stay of execution; duration; limits on stays of execution; successive petitions

- (a) Upon the entry in the appropriate State court of record of an order under section 2261(c), a warrant or order setting an execution date for a State prisoner shall be stayed upon application to any court that would have jurisdiction over any proceedings filed under section 2254. The application shall recite that the State has invoked the post-conviction review procedures of this chapter and that the scheduled execution is subject to stay.
- (b) A stay of execution granted pursuant to subsection (a) shall expire if—
  - (1) a State prisoner fails to file a habeas corpus application under section 2254 within the time required in section 2263;
  - (2) before a court of competent jurisdiction, in the presence of counsel, unless the prisoner has competently and knowingly waived such counsel, and after having been advised of the consequences, a State prisoner under capital sentence waives the right to pursue habeas corpus review under section 2254; or
  - (3) a State prisoner files a habeas corpus petition under section 2254 within the time required by section 2263 and fails to make a substantial showing of the denial of a Federal right or is denied relief in the district court or at any subsequent stage of review.
- (c) If one of the conditions in subsection (b) has occurred, no Federal court thereafter shall have the authority to enter a stay of execution in the case, unless the court of appeals approves the filing of a second or successive application under section 2244(b).

(Added Pub. L. 104–132, title I, 107(a), Apr. 24, 1996, 110 Stat. 1222.)

### § 2263. Filing of habeas corpus application; time requirements; tolling rules

- (a) Any application under this chapter for habeas corpus relief under section 2254 must be filed in the appropriate district court not later than 180 days after final State court affirmance of the conviction and sentence on direct review or the expiration of the time for seeking such review.
- (b) The time requirements established by subsection (a) shall be tolled—
  - (1) from the date that a petition for certiorari is filed in the Supreme Court until the date of final disposition of the petition if a State prisoner files the petition to secure review by the Supreme Court of the affirmance of a capital sentence on direct review by the court of last resort of the State or other final State court decision on direct review;
  - (2) from the date on which the first petition for post-conviction review or other collateral relief is filed until the final State court disposition of such petition; and
  - (3) during an additional period not to exceed 30 days, if—  $\,$ 
    - (A) a motion for an extension of time is filed in the Federal district court that would have jurisdiction over the case upon the filing of a habeas corpus application under section 2254; and
    - (B) a showing of good cause is made for the failure to file the habeas corpus application within the time period established by this section.

(Added Pub. L. 104–132, title I, §107(a), Apr. 24, 1996, 110 Stat. 1223.)

### § 2264. Scope of Federal review; district court adjudications

- (a) Whenever a State prisoner under capital sentence files a petition for habeas corpus relief to which this chapter applies, the district court shall only consider a claim or claims that have been raised and decided on the merits in the State courts, unless the failure to raise the claim properly is—
  - (1) the result of State action in violation of the Constitution or laws of the United States;
  - (2) the result of the Supreme Court's recognition of a new Federal right that is made retroactively applicable; or
  - (3) based on a factual predicate that could not have been discovered through the exercise of due diligence in time to present the claim for State or Federal post-conviction review.
- (b) Following review subject to subsections (a), (d), and (e) of section 2254, the court shall rule on the claims properly before it.

(Added Pub. L. 104–132, title I, 107(a), Apr. 24, 1996, 110 Stat. 1223.)

#### § 2265. Certification and judicial review

- (a) CERTIFICATION.—
- (1) IN GENERAL.—If requested by an appropriate State official, the Attorney General of the United States shall determine—
  - (A) whether the State has established a mechanism for the appointment, compensa-

- tion, and payment of reasonable litigation expenses of competent counsel in State postconviction proceedings brought by indigent prisoners who have been sentenced to death;
- (B) the date on which the mechanism described in subparagraph (A) was established; and
- (C) whether the State provides standards of competency for the appointment of counsel in proceedings described in subparagraph (A).
- (2) EFFECTIVE DATE.—The date the mechanism described in paragraph (1)(A) was established shall be the effective date of the certification under this subsection.
- (3) ONLY EXPRESS REQUIREMENTS.—There are no requirements for certification or for application of this chapter other than those expressly stated in this chapter.
- (b) REGULATIONS.—The Attorney General shall promulgate regulations to implement the certification procedure under subsection (a).
  - (c) REVIEW OF CERTIFICATION.—
  - (1) IN GENERAL.—The determination by the Attorney General regarding whether to certify a State under this section is subject to review exclusively as provided under chapter 158 of this title.
  - (2) VENUE.—The Court of Appeals for the District of Columbia Circuit shall have exclusive jurisdiction over matters under paragraph (1), subject to review by the Supreme Court under section 2350 of this title.
  - (3) STANDARD OF REVIEW.—The determination by the Attorney General regarding whether to certify a State under this section shall be subject to de novo review.

(Added Pub. L. 109–177, title V, §507(c)(1), Mar. 9, 2006, 120 Stat. 250.)

#### PRIOR PROVISIONS

A prior section 2265, added Pub. L. 104–132, title I,  $\S$  107(a), Apr. 24, 1996, 110 Stat. 1223, related to the application of sections 2262, 2263, 2264, and 2266 of this title to State unitary review procedures, prior to repeal by Pub. L. 109–177, title V,  $\S$ 507(c)(1), Mar. 9, 2006, 120 Stat. 250.

#### EFFECTIVE DATE

Section applicable to cases pending on or after Mar. 9, 2006, with special rule for certain cases pending on that date, see section 507(d) of Pub. L. 109–177, set out as an Effective Date of 2006 Amendment note under section 2251 of this title.

### § 2266. Limitation periods for determining applications and motions

- (a) The adjudication of any application under section 2254 that is subject to this chapter, and the adjudication of any motion under section 2255 by a person under sentence of death, shall be given priority by the district court and by the court of appeals over all noncapital matters.
- (b)(1)(A) A district court shall render a final determination and enter a final judgment on any application for a writ of habeas corpus brought under this chapter in a capital case not later than 450 days after the date on which the application is filed, or 60 days after the date on which the case is submitted for decision, whichever is earlier.

- (B) A district court shall afford the parties at least 120 days in which to complete all actions, including the preparation of all pleadings and briefs, and if necessary, a hearing, prior to the submission of the case for decision.
- (C)(i) A district court may delay for not more than one additional 30-day period beyond the period specified in subparagraph (A), the rendering of a determination of an application for a writ of habeas corpus if the court issues a written order making a finding, and stating the reasons for the finding, that the ends of justice that would be served by allowing the delay outweigh the best interests of the public and the applicant in a speedy disposition of the application.
- (ii) The factors, among others, that a court shall consider in determining whether a delay in the disposition of an application is warranted are as follows:
  - (I) Whether the failure to allow the delay would be likely to result in a miscarriage of instice
  - (II) Whether the case is so unusual or so complex, due to the number of defendants, the nature of the prosecution, or the existence of novel questions of fact or law, that it is unreasonable to expect adequate briefing within the time limitations established by subparagraph (A).
  - (III) Whether the failure to allow a delay in a case that, taken as a whole, is not so unusual or so complex as described in subclause (II), but would otherwise deny the applicant reasonable time to obtain counsel, would unreasonably deny the applicant or the government continuity of counsel, or would deny counsel for the applicant or the government the reasonable time necessary for effective preparation, taking into account the exercise of due diligence.
- (iii) No delay in disposition shall be permissible because of general congestion of the court's calendar.
- (iv) The court shall transmit a copy of any order issued under clause (i) to the Director of the Administrative Office of the United States Courts for inclusion in the report under paragraph (5).
- (2) The time limitations under paragraph (1) shall apply to—
  - (A) an initial application for a writ of habeas corpus:
  - (B) any second or successive application for a writ of habeas corpus; and
- (C) any redetermination of an application for a writ of habeas corpus following a remand by the court of appeals or the Supreme Court for further proceedings, in which case the limitation period shall run from the date the remand is ordered.
- (3)(A) The time limitations under this section shall not be construed to entitle an applicant to a stay of execution, to which the applicant would otherwise not be entitled, for the purpose of litigating any application or appeal.
- (B) No amendment to an application for a writ of habeas corpus under this chapter shall be permitted after the filing of the answer to the application, except on the grounds specified in section 2244(b).

- (4)(A) The failure of a court to meet or comply with a time limitation under this section shall not be a ground for granting relief from a judgment of conviction or sentence.
- (B) The State may enforce a time limitation under this section by petitioning for a writ of mandamus to the court of appeals. The court of appeals shall act on the petition for a writ of mandamus not later than 30 days after the filing of the petition.
- (5)(A) The Administrative Office of the United States Courts shall submit to Congress an annual report on the compliance by the district courts with the time limitations under this section
- (B) The report described in subparagraph (A) shall include copies of the orders submitted by the district courts under paragraph (1)(B)(iv).
- (c)(1)(A) A court of appeals shall hear and render a final determination of any appeal of an order granting or denying, in whole or in part, an application brought under this chapter in a capital case not later than 120 days after the date on which the reply brief is filed, or if no reply brief is filed, not later than 120 days after the date on which the answering brief is filed.
- (B)(i) A court of appeals shall decide whether to grant a petition for rehearing or other request for rehearing en banc not later than 30 days after the date on which the petition for rehearing is filed unless a responsive pleading is required, in which case the court shall decide whether to grant the petition not later than 30 days after the date on which the responsive pleading is filed.
- (ii) If a petition for rehearing or rehearing en banc is granted, the court of appeals shall hear and render a final determination of the appeal not later than 120 days after the date on which the order granting rehearing or rehearing en banc is entered.
- (2) The time limitations under paragraph (1) shall apply to—
  - (A) an initial application for a writ of habeas corpus:
  - (B) any second or successive application for a writ of habeas corpus; and
  - (C) any redetermination of an application for a writ of habeas corpus or related appeal following a remand by the court of appeals en banc or the Supreme Court for further proceedings, in which case the limitation period shall run from the date the remand is ordered.
- (3) The time limitations under this section shall not be construed to entitle an applicant to a stay of execution, to which the applicant would otherwise not be entitled, for the purpose of litigating any application or appeal.
- (4)(A) The failure of a court to meet or comply with a time limitation under this section shall not be a ground for granting relief from a judgment of conviction or sentence.
- (B) The State may enforce a time limitation under this section by applying for a writ of mandamus to the Supreme Court.
- (5) The Administrative Office of the United States Courts shall submit to Congress an annual report on the compliance by the courts of appeals with the time limitations under this section.

(Added Pub. L. 104–132, title I, §107(a), Apr. 24, 1996, 110 Stat. 1224; amended Pub. L. 109–177, title V, §507(e), Mar. 9, 2006, 120 Stat. 251.)

#### AMENDMENTS

2006—Subsec. (b)(1)(A). Pub. L. 109–177 substituted "450 days after the date on which the application is filed, or 60 days after the date on which the case is submitted for decision, whichever is earlier" for "180 days after the date on which the application is filed".

#### EFFECTIVE DATE OF 2006 AMENDMENT

Amendment by Pub. L. 109–177 applicable to cases pending on or after Mar. 9, 2006, with special rule for certain cases pending on that date, see section  $507(\mathrm{d})$  of Pub. L. 109–177, set out as a note under section 2251 of this title.

### CHAPTER 155—INJUNCTIONS; THREE-JUDGE COURTS

Sec.

[2281. Repealed.] [2282. Repealed.]

2283. Stay of State court proceedings.

2284. Three-judge district court; when required; composition; procedure.<sup>1</sup>

#### AMENDMENTS

1976—Pub. L. 94–381, §4, Aug. 12, 1976, 90 Stat. 1119, struck out item 2281 "Injunction against enforcement of State statute; three-judge court required", item 2282 "Injunction against enforcement of Federal statute; three-judge court required", and inserted "when required" after "district court" in item 2284.

### [§§ 2281, 2282. Repealed. Pub. L. 94–381, §§ 1, 2, Aug. 12, 1976, 90 Stat. 1119]

Section 2281, act June 25, 1948, ch. 646, 62 Stat. 968, provided that an interlocutory or permanent injunction restraining the enforcement, operation or execution of a State statute on grounds of unconstitutionality should not be granted unless the application has been heard and determined by a three-judge district court.

Section 2282, act June 25, 1948, ch. 646, 62 Stat. 968, provided that an interlocutory or permanent injunction restraining the enforcement, operation or execution of any Act of Congress on grounds of unconstitutionality should not be granted unless the application therefor has been heard and determined by a three-judge district court.

#### EFFECTIVE DATE OF REPEAL

Repeal not applicable to any action commenced on or before Aug. 12, 1976, see section 7 of Pub. L. 94–381 set out as an Effective Date of 1976 Amendment note under section 2284 of this title.

#### § 2283. Stay of State court proceedings

A court of the United States may not grant an injunction to stay proceedings in a State court except as expressly authorized by Act of Congress, or where necessary in aid of its jurisdiction, or to protect or effectuate its judgments.

(June 25, 1948, ch. 646, 62 Stat. 968.)

#### HISTORICAL AND REVISION NOTES

Based on title 28, U.S.C., 1940 ed., § 379 (Mar. 3, 1911, ch. 231, § 265, 36 Stat. 1162).

An exception as to acts of Congress relating to bankruptcy was omitted and the general exception substituted to cover all exceptions.

The phrase "in aid of its jurisdiction" was added to conform to section 1651 of this title and to make clear

the recognized power of the Federal courts to stay proceedings in State cases removed to the district courts.

The exceptions specifically include the words "to protect or "effectuate its judgments," for lack of which the Supreme Court held that the Federal courts are without power to enjoin relitigation of cases and controversies fully adjudicated by such courts. (See Toucey v. New York Life Insurance Co., 62 S.Ct. 139, 314 U.S. 118, 86 L.Ed. 100. A vigorous dissenting opinion (62 S.Ct. 148) notes that at the time of the 1911 revision of the Judicial Code, the power of the courts, of the United States to protect their judgments was unquestioned and that the revisers of that code noted no change and Congress intended no change).

Therefore the revised section restores the basic law as generally understood and interpreted prior to the Toucey decision.

Changes were made in phraseology.

### § 2284. Three-judge court; when required; composition; procedure

(a) A district court of three judges shall be convened when otherwise required by Act of Congress, or when an action is filed challenging the constitutionality of the apportionment of congressional districts or the apportionment of any statewide legislative body.

(b) In any action required to be heard and determined by a district court of three judges under subsection (a) of this section, the composition and procedure of the court shall be as follows:

(1) Upon the filing of a request for three judges, the judge to whom the request is presented shall, unless he determines that three judges are not required, immediately notify the chief judge of the circuit, who shall designate two other judges, at least one of whom shall be a circuit judge. The judges so designated, and the judge to whom the request was presented, shall serve as members of the court to hear and determine the action or proceeding.

(2) If the action is against a State, or officer or agency thereof, at least five days' notice of hearing of the action shall be given by registered or certified mail to the Governor and attorney general of the State.

(3) A single judge may conduct all proceedings except the trial, and enter all orders permitted by the rules of civil procedure except as provided in this subsection. He may grant a temporary restraining order on a specific finding, based on evidence submitted, that specified irreparable damage will result if the order is not granted, which order, unless previously revoked by the district judge, shall remain in force only until the hearing and determination by the district court of three judges of an application for a preliminary injunction. A single judge shall not appoint a master, or order a reference, or hear and determine any application for a preliminary or permanent injunction or motion to vacate such an injunction, or enter judgment on the merits. Any action of a single judge may be reviewed by the full court at any time before final judgment.

(June 25, 1948, ch. 646, 62 Stat. 968; Pub. L. 86–507, §1(19), June 11, 1960, 74 Stat. 201; Pub. L. 94–381, §3, Aug. 12, 1976, 90 Stat. 1119; Pub. L. 98–620, title IV, §402(29)(E), Nov. 8, 1984, 98 Stat. 3359.)

#### HISTORICAL AND REVISION NOTES

Based on title 28, U.S.C., 1940 ed.,  $\S\S47$ , 47a, 380, 380a, and 792 (Mar. 3, 1911, ch. 231,  $\S\S210$ , 266, 36 Stat. 1150,

<sup>&</sup>lt;sup>1</sup>So in original. Does not conform to section catchline.